

**Electrons in Solids
(2012)**

Classwork 1

(corrected – version 2)

The 2–dimensional free electron model (2D FEM) has a time independent Schrödinger equation (TISE) of the form:

$$-\frac{\hbar^2}{2m} \left(\frac{d^2\psi}{dx^2} + \frac{d^2\psi}{dy^2} \right) = E\psi$$

The solid consists of a square in the x - y plane with sides of equal length L .

- 1) What are the 2-dimensional Born von Karman boundary conditions?
- 2) Show that the TISE has solutions of the form:

$$\psi(x, y) = C \exp(i(k_x x + k_y y))$$

Find the dispersion relationship and the possible values of k_x and k_y .

- 3) Normalize the solution to find the value of C .
- 4) Find the area in k -space per state.
- 5) By considering the number of states dn which lie between k and $k+dk$ in the k_x - k_y plane in k -space, show that the 2D FEM DOS is:

$$D(E) = \frac{mL^2}{\pi\hbar^2}$$

*** (Original answer to 5) was out by factor of $\frac{1}{2}$ - now corrected)*

**Electrons in Solids
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Classwork 2

Magnesium (Mg) belongs to Group 2A (valency of 2) and has a density of $1.74 \times 10^3 \text{ kg m}^{-3}$ and an atomic weight of $24.3 \times 10^{-3} \text{ kg mol}^{-1}$. Consider the 3-dimensional free electron model (3D FEM).

- 1) Calculate the electron density N/V (in m^{-3}) for Mg.
- 2) By considering the volume in k -space occupied by N electrons and the volume in k -space per state, find the general equation for the Fermi wavevector k_F . Calculate its value for Mg (in m^{-1}).
- 3) Calculate the value of the Fermi velocity v_F of Mg in units of c .
- 4) If the electron mobility is $0.01 \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$, under an applied electric field of 10^6 V m^{-1} calculate the drift velocity v_{drift} (in m s^{-1}). Hence, find the fraction δk by which the Fermi sphere has shifted in k -space under this applied electric field in units of k_F .
- 5) From the answer to 2), find the general equation for the Fermi energy E_F . Calculate its value for Mg (in eV).
- 6) By considering the rate of change of N with E_F , find the 3D FEM DOS.
- 7) Calculate the Fermi temperature T_F (in K) for Mg. From this calculate the ratio of $k_B T/E_F$ at 300K.
- 8) Find the electron density n_{kT}/V in the energy range E_F to $E_F+k_B T$.
- 9) Calculate the fraction of total electrons in the energy range E_F to $E_F+k_B T$.
- 10) At low temperatures the mass specific heat capacity at constant volume c_V (in $\text{J kg}^{-1} \text{ K}^{-1}$) of Mg is observed to follow:

$$c_V \approx \gamma T$$

Using the 3D FEM, calculate the value of γ for Mg. Compare this to the experimentally measured value of $0.07 \text{ J kg}^{-1} \text{ K}^{-2}$. At 10K, how does the 3D FEM and experimentally measured values of c_V compare to that predicted by classical free electron gas theory?

**Electrons in Solids
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Classwork 3

A 3-dimensional Bloch wavefunction has the form:

$$\psi(\mathbf{r}) = u_{\mathbf{k}}(\mathbf{r}) \cdot \exp(i\mathbf{k} \cdot \mathbf{r})$$

where: $u_{\mathbf{k}}(\mathbf{r} + \mathbf{R}) = u_{\mathbf{k}}(\mathbf{r})$

for all \mathbf{R} in the crystal lattice, where:

$$\mathbf{R} = ua\hat{\mathbf{x}} + va\hat{\mathbf{y}} + wa\hat{\mathbf{z}}$$

for all integer values of u , v and w , and where a is the unit cell length. Let the solid have cubic shape with equal sides L .

- 1) Show that translation of the Bloch electron between unit cells results in a phase shift ϕ , and find its relationship to \mathbf{k} .
- 2) Show that the probability of the Bloch electron being found in any unit cell in the crystal structure is the same.
- 3) Find the 3-dimensional Born von Karman boundary conditions in the form of $\psi(\mathbf{r}+\mathbf{R})$.
- 4) Hence, find the allowed values of quantum number \mathbf{k} .
- 5) At small \mathbf{k} and small U_0 , where U_0 is related to the magnitude of the periodic potential, the dispersion relationship can be approximated by:

$$E = \left(\frac{6U_0}{U_0 + 3} \right) \frac{\hbar^2}{2ma^2} + \left(\frac{3}{U_0 + 3} \right) \frac{\hbar^2 |\mathbf{k}|^2}{2m}$$

Find the density of states.

**Electrons in Solids
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Classwork 4

Consider the Bloch wavefunction:

$$\psi_{k'}(x) = u_{k'}(x) \exp(ik'x)$$

where k' is in the 4th Brillouin Zone in the $+k_x$ direction. This wavevector value can be translated into the 1st Brillouin zone using:

$$k' = k + \frac{2\pi}{a} n'$$

where n' is an integer.

- 1) What is the value of n' ?
- 2) Show that this Bloch wavefunction can be translated into the 1st Brillouin zone to form a new Bloch wavefunction with this wavevector k .

Bloch electrons have group velocity v_g . If a force F acts on the Bloch electron it accelerates:

$$F = m^* \frac{dv_g}{dt}$$

where m^* is the effective mass. Assume that F , v_g and k are in the x direction.

- 3) Find an equation relating the group velocity v_g to E and k .
- 4) By considering the work done δE by a force F in time δt , show that:

$$\frac{dk}{dt} = \frac{F}{\hbar}$$

- 5) Hence, find an equation relating the effective mass m^* to E and k .

Consider a semiconductor in which the conduction band dispersion curve $E_C(k)$ and the valence band dispersion curve $E_V(k)$ close to $k = 0$ in the reduced zone scheme can be described by:

$$E_C(k) = 7.56 \times 10^{-38} k^2 + 4.02 \times 10^{-19}$$

$$E_V(k) = -1.48 \times 10^{-38} k^2$$

where E_V and E_C are in Joules and the magnitude of the electron wavevector k is in m^{-1} .

- 6) What is the energy gap E_G (in eV)?
- 7) What is the effective mass of an electron m_n^* in the conduction band (in units of the free electron rest mass m_e)?
- 8) What is the effective mass of an electron m_n^* in the valence band (in units of m_e)?
- 9) What is the effective mass of a hole m_p^* in the valence band (in units of m_e)?

**Electrons in Solids
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**Classwork 1
SOLUTIONS**

(corrected – version 2)

1) What are the 2-dimensional Born von Karman boundary conditions?

$$\psi(x, y) = \psi(x + L, y)$$

$$\psi(x, y) = \psi(x, y + L)$$

1) Show that the TISE has solutions of the form:

$$\psi(x, y) = C \exp(i(k_x x + k_y y))$$

Find the dispersion relationship and the possible values of k_x and k_y .

Rearrange TISE:

$$\left(\frac{d^2 \psi}{dx^2} + \frac{d^2 \psi}{dy^2} \right) = -\frac{2mE}{\hbar^2} \psi$$

Trial solution:

$$\psi(x, y) = C \exp(i(k_x x + k_y y))$$

$$= C \exp(ik_x x) \exp(ik_y y)$$

$$= CX(x)Y(y)$$

Solve:

$$C \left(Y \frac{d^2 X}{dx^2} + X \frac{d^2 Y}{dy^2} \right) = -\frac{2mE}{\hbar^2} CXY$$

$$\left(\frac{X''}{X} + \frac{Y''}{Y} \right) = -\frac{2mE}{\hbar^2}$$

Leads to:

$$(k_x^2 + k_y^2) = \frac{2mE}{\hbar^2}$$

$$E = \frac{\hbar^2 |\mathbf{k}|^2}{2m}$$

$$\mathbf{k} = (k_x, k_y)$$

For k values:

$$\psi(x, y) = \psi(x + L, y)$$

$$\psi(0, 0) = \psi(0 + L, 0)$$

$$C = C \exp(ik_x L)$$

$$k_x L = 2\pi n_x$$

$$k_x = \frac{2\pi n_x}{L}$$

$$k_y = \frac{2\pi n_y}{L}$$

For n_x and $n_y = 0, \pm 1, \pm 2, \pm 3, \pm 4, \dots$

2) Normalize the solution to find the value of C .

$$\int_0^L \int_0^L \psi(x, y) \cdot \psi^*(x, y) dx dy = 1$$

$$= \int_0^L \int_0^L C^2 dx dy$$

$$= C^2 L^2 = 1$$

$$C = \frac{1}{L}$$

$$\psi(x, y) = \frac{1}{L} \exp(ik_x x) \exp(ik_y y)$$

3) Find the area in k -space per state.

$$k_x = \frac{2\pi n_x}{L}$$

$$\Delta k_x = k_x(n_x = 1) = \frac{2\pi}{L}$$

$$\Delta k_y = k_y(n_y = 1) = \frac{2\pi}{L}$$

$$\Delta k_x \Delta k_y = \left(\frac{2\pi}{L}\right)^2$$

4) By considering the number of states dn which lie between k and $k+dk$ in the k_x - k_y plane in k -space, show that the 2D FEM DOS is:

$$D(E) = \frac{mL^2}{\pi\hbar^2}$$

*** (Original answer was out by factor of $\frac{1}{2}$ - now corrected)*

States dn in area occupied in k -space between k & $k+dk$:

$$n = |\mathbf{n}|$$

$$k = |\mathbf{k}|$$

$$\Delta k_x \Delta k_y dn = 2\pi k dk$$

$$\frac{dn}{dk} = \frac{2\pi k}{\Delta k_x \Delta k_y} = \frac{L^2}{2\pi} k$$

$$k = \frac{\sqrt{2m}}{\hbar} \sqrt{E}$$

$$\frac{dk}{dE} = \frac{\sqrt{2m}}{2\hbar} \frac{1}{\sqrt{E}}$$

$$\frac{dn}{dk} = \frac{L^2}{2\pi} \frac{\sqrt{2m}}{\hbar} \sqrt{E}$$

$$\frac{dn}{dk} \frac{dk}{dE} = \frac{dn}{dE} = \frac{L^2}{2\pi} \frac{2m}{2\hbar^2} = \frac{mL^2}{2\pi\hbar^2}$$

Spin: $\times 2$

$$D(E) = \frac{mL^2}{\pi\hbar^2}$$

*** (Mistake in original – wrote circumference of circle in k -space as πk - now corrected to $2\pi k$)*

*** (Original answer was out by factor of $\frac{1}{2}$ - now corrected)*

**Electrons in Solids
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**Classwork 2
SOLUTIONS**

Magnesium (Mg) belongs to Group 2A (valency of 2) and has a density of $1.74 \times 10^3 \text{ kg m}^{-3}$ and an atomic weight of $24.3 \times 10^{-3} \text{ kg mol}^{-1}$. Consider the 3-dimensional free electron model (3D FEM).

- 1) Calculate the electron density N/V (in m^{-3}) for Mg.

$$\text{Atomic density} = (\rho/A) \cdot N_A = 4.29 \times 10^{28} \text{ m}^{-3}$$

$$\text{Valence electron density} = 2 \cdot (\rho/A) \cdot N_A = 8.6 \times 10^{28} \text{ m}^{-3}$$

- 2) By considering the volume in k -space occupied by N electrons and the volume in k -space per state, find the general equation for the Fermi wavevector k_F . Calculate its value for Mg (in m^{-1}).

Volume of free electron sphere containing N electrons:

$$\frac{4}{3} \pi k_F^3$$

Volume per state in k -space:

$$\Delta k_x \Delta k_y \Delta k_z = \left(\frac{2\pi}{L} \right)^3$$

Therefore, with 2 electrons per state:

$$\frac{N}{2} = \frac{4}{3} \pi k_F^3 \left(\frac{L}{2\pi} \right)^3$$

$$N = \frac{8}{3} \pi k_F^3 \frac{V}{8\pi^3}$$

$$\frac{N}{V} = \frac{1}{3} k_F^3 \frac{1}{\pi^2}$$

$$k_F = \left(3\pi^2 \frac{N}{V} \right)^{1/3}$$

Therefore $k_F = 1.36 \times 10^{10} \text{ m}^{-1}$

- 3) Calculate the value of the Fermi velocity v_F of Mg in units of c .

$$v_F = \hbar k_F / m = 1.57 \times 10^6 \text{ m/s} = 0.0052c \approx 0.5\%c$$

- 4) If the electron mobility is $0.01 \text{ m}^2 \text{ V}^{-1} \text{ s}^{-1}$, under an applied electric field of 10^6 V m^{-1} calculate the drift velocity v_{drift} (in m s^{-1}). Hence, find the fraction δk by which the Fermi sphere has shifted in k -space under this applied electric field in units of k_F .

$$v_{drift} = \mu_n E_{field} = 10^4 \text{ m/s}$$

$$\delta k = (v_{drift}/v_F) k_F = 0.0064 k_F$$

- 5) From the answer to 2), find the general equation for the Fermi energy E_F . Calculate its value for Mg (in eV).

$$k_F = \left(3\pi^2 \frac{N}{V} \right)^{1/3}$$

$$E_F = \frac{\hbar^2 k_F^2}{2m}$$

$$E_F = \frac{\hbar^2}{2m} \left(3\pi^2 \frac{N}{V} \right)^{2/3}$$

$$E_F = (\hbar k_F)^2 / 2m = m(v_F)^2 / 2 = 1.12 \times 10^{-18} \text{ J} = 7.02 \text{ eV}$$

- 6) By considering the rate of change of N with E_F , find the 3D FEM DOS.

Non-formal method to find $D(E)$: we assume rate of change of N with E_F same as rate of change of n with E

$$E_F = \frac{\hbar^2}{2m} \left(3\pi^2 \frac{N}{V} \right)^{2/3}$$

$$N = \frac{V}{3\pi^2} \left(\frac{2m}{\hbar^2} \right)^{3/2} (E_F)^{3/2}$$

$$\frac{dN}{dE_F} = \frac{dn}{dE} = D(E) = \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2} \right)^{3/2} \sqrt{E_F}$$

- 7) Calculate the Fermi temperature T_F (in K) for Mg. From this calculate the ratio of $k_B T / E_F$ at 300K.

$$T_F = E_F / k_B = 81,200 \text{ K}$$

$$300 k_B / E_F = 300 / T_F = 0.0037$$

8) Find the electron density n_{kT}/V in the energy range E_F to $E_F+k_B T$.

$$D(E_F) = 1.14 \times 10^{47} \text{ m}^{-3} \text{ J}^{-1}$$

$$n_{kT}/V = D(E_F) \cdot k_B T = 4.72 \times 10^{26} \text{ m}^{-3}$$

9) Calculate the fraction of total electrons in the energy range E_F to $E_F+k_B T$.

$$(n_{kT}/V)/(N/V) = 0.0055$$

10) At low temperatures the mass specific heat capacity at constant volume c_V (in $\text{J kg}^{-1} \text{ K}^{-1}$) of Mg is observed to follow:

$$c_V \approx \gamma T$$

Using the 3D FEM, calculate the value of γ for Mg. Compare this to the experimentally measured value of $0.07 \text{ J kg}^{-1} \text{ K}^{-2}$. At 10K, how does the 3D FEM and experimentally measured values of c_V compare to that predicted by classical free electron gas theory?

3D FEM

$$C_V/T = 3(N/V)(k_B)^2/E_F = 43.9 \text{ J m}^{-3} \text{ K}^{-2}$$

$$c_V/T = C_V/T\rho = 0.025 \text{ J kg}^{-1} \text{ K}^{-2}$$

Classical

$$C_V = (N/V)(k_B) = 1.2 \times 10^6 \text{ J m}^{-3} \text{ K}^{-1}$$

$$c_V = C_V/\rho = 682 \text{ J kg}^{-1} \text{ K}^{-1}$$

At 10K:

$$3\text{D FEM } c_V = 0.25 \text{ J kg}^{-1} \text{ K}^{-1}$$

$$\text{measured } c_V = 0.7 \text{ J kg}^{-1} \text{ K}^{-1}$$

$$\text{classical } c_V = 682 \text{ J kg}^{-1} \text{ K}^{-1}$$

Classical out by 3 orders of magnitude and cannot explain T dependence.

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**Classwork 3
SOLUTIONS**

A 3-dimensional Bloch wavefunction has the form:

$$\psi(\mathbf{r}) = u_{\mathbf{k}}(\mathbf{r}) \cdot \exp(i\mathbf{k} \cdot \mathbf{r})$$

where: $u_{\mathbf{k}}(\mathbf{r} + \mathbf{R}) = u_{\mathbf{k}}(\mathbf{r})$

for all \mathbf{R} in the crystal lattice, where:

$$\mathbf{R} = ua\hat{\mathbf{x}} + va\hat{\mathbf{y}} + wa\hat{\mathbf{z}}$$

for all integer values of u , v and w , and a is the unit cell length. Let the solid have cubic shape with equal sides L .

- 1) Show that translation of the Bloch electron between units cells results in a phase shift ϕ , and find its relationship to \mathbf{k} .

$$\begin{aligned}\psi(\mathbf{r} + \mathbf{R}) &= u_{\mathbf{k}}(\mathbf{r} + \mathbf{R}) \exp(i\mathbf{k} \cdot (\mathbf{r} + \mathbf{R})) \\ &= u_{\mathbf{k}}(\mathbf{r}) \exp(i\mathbf{k} \cdot \mathbf{r}) \exp(i\mathbf{k} \cdot \mathbf{R}) \\ &= \psi(\mathbf{r}) \exp(i\mathbf{k} \cdot \mathbf{R}) \\ \exp(i\phi) &= \exp(i\mathbf{k} \cdot \mathbf{R}) \\ \phi &= \mathbf{k} \cdot \mathbf{R}\end{aligned}$$

- 2) Show that the probability of the Bloch electron being found in any unit cell in the crystal structure is the same.

$$\psi(\mathbf{r} + \mathbf{R}) = \psi(\mathbf{r}) \exp(i\mathbf{k} \cdot \mathbf{R})$$

$$\begin{aligned}\psi^*(\mathbf{r} + \mathbf{R}) \cdot \psi(\mathbf{r} + \mathbf{R}) \\ &= \psi^*(\mathbf{r}) \exp(-i\mathbf{k} \cdot \mathbf{R}) \cdot \psi(\mathbf{r}) \exp(i\mathbf{k} \cdot \mathbf{R}) \\ &= \psi^*(\mathbf{r}) \cdot \psi(\mathbf{r})\end{aligned}$$

$$\therefore |\psi^*(\mathbf{r} + \mathbf{R}) \cdot \psi(\mathbf{r} + \mathbf{R})| = |\psi^*(\mathbf{r}) \cdot \psi(\mathbf{r})|$$

- 3) Find the 3-dimensional Born von Karman boundary conditions in the form of $\psi(\mathbf{r}+\mathbf{R})$.

$$\psi(x, y, z) = \psi(x + L, y, z)$$

$$\psi(x, y, z) = \psi(x, y + L, z)$$

$$\psi(x, y, z) = \psi(x, y, z + L)$$

$$\psi(\mathbf{r}) = \psi(\mathbf{r} + \mathbf{R})$$

$$\mathbf{R} = L\hat{x} + L\hat{y} + L\hat{z}$$

- 4) Hence, find the allowed values of quantum number \mathbf{k} .

$$\psi(\mathbf{r} + \mathbf{R}) = \psi(\mathbf{r}) \exp(i\mathbf{k}\cdot\mathbf{R})$$

$$= \psi(\mathbf{r}) \exp(ik_x.L) \exp(ik_y.L) \exp(ik_z.L)$$

$$1 = \exp(ik_x.L) \exp(ik_y.L) \exp(ik_z.L)$$

$$k_x = \frac{2\pi}{L} n_x$$

$$k_y = \frac{2\pi}{L} n_y$$

$$k_z = \frac{2\pi}{L} n_z$$

$$L = Na$$

$$n_x, n_y, n_z = 0, \pm 1, \pm 2, \pm 3, \dots$$

$$\mathbf{k} = (k_x, k_y, k_z)$$

- 5) At small \mathbf{k} and small U_0 , where U_0 is related to the magnitude of the periodic potential, the dispersion relationship can be approximated by:

$$E = \left(\frac{6U_0}{U_0 + 3} \right) \frac{\hbar^2}{2ma^2} + \left(\frac{3}{U_0 + 3} \right) \frac{\hbar^2 |\mathbf{k}|^2}{2m}$$

Find the density of states.

$$\Delta k_x \Delta k_y \Delta k_z = \left(\frac{2\pi}{L} \right)^3$$

$$\frac{dn}{dk} = \left(\frac{2\pi}{L} \right)^{-3} 4\pi k^2$$

$$E = \left(\frac{6U_0}{U_0 + 3} \right) \frac{\hbar^2}{2ma^2} + \left(\frac{3}{U_0 + 3} \right) \frac{\hbar^2 |\mathbf{k}|^2}{2m} = A + B |\mathbf{k}|^2$$

$$k = |\mathbf{k}| = \sqrt{\frac{E - A}{B}}$$

$$\frac{dk}{dE} = \frac{1}{2B} \left(\frac{E - A}{B} \right)^{-1/2}$$

$$\frac{dn}{dk} \cdot \frac{dk}{dE} = \left(\frac{2\pi}{L} \right)^{-3} 4\pi k^2 \frac{1}{2B} \left(\frac{E - A}{B} \right)^{-1/2} = \left(\frac{2\pi}{L} \right)^{-3} 4\pi \frac{1}{2B} \left(\frac{E - A}{B} \right)^{1/2}$$

$$D(E) = 2 \frac{dn}{dk} \cdot \frac{dk}{dE} = \frac{V}{4\pi^2} \left(\frac{E - A}{B^3} \right)^{1/2}$$

Electrons in Solids
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Classwork 4
SOLUTIONS

Consider the Bloch wavefunction:

$$\psi_{k'}(x) = u_{k'}(x) \exp(ik'x)$$

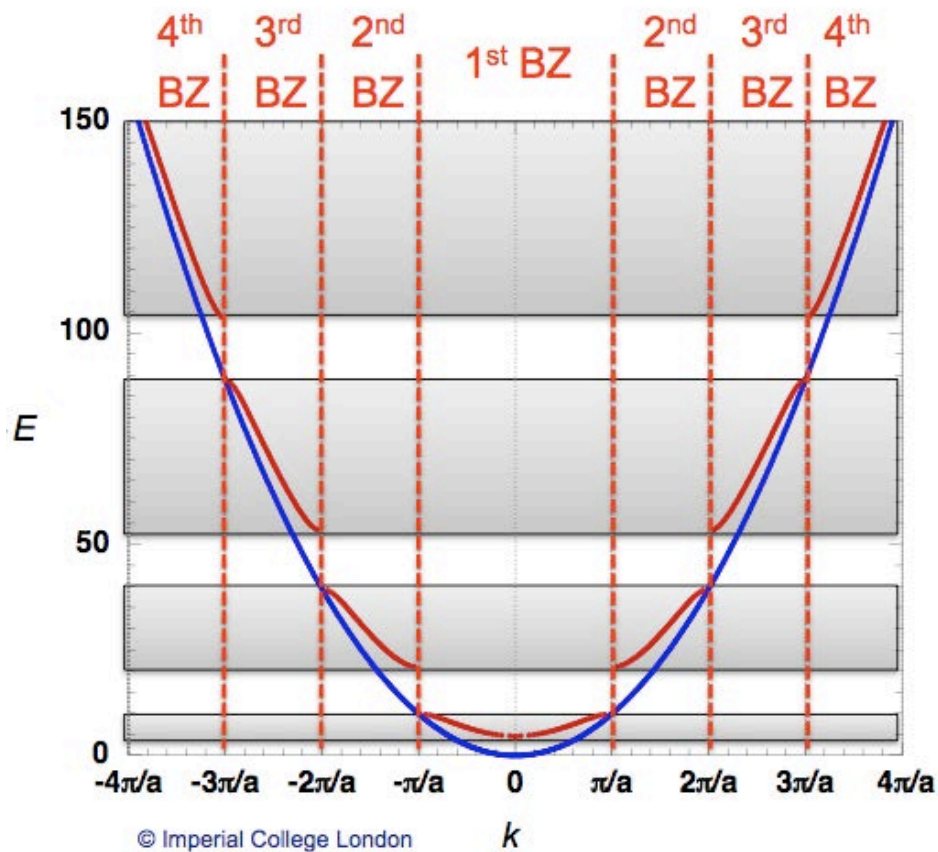
where k' is in the 4th Brillouin Zone in the $+k_x$ direction. This wavevector value can be translated into the 1st Brillouin zone using:

$$k' = k + \frac{2\pi}{a} n'$$

where n' is an integer.

1) What is the value of n' ?

BZ structured as below (see Lecture 13).



To reach 4th BZ (in $+x$ direction) from 1st BZ (in units of $2\pi/a$) requires a shift of $2 \times 2\pi/a = 4\pi/a$. Hence $n' = 2$.

- 2) Show that this Bloch wavefunction from can be translated into the 1st Brillouin zone to form a new Bloch wavefunction with wavevector k .

$$\psi_{k'}(x) = u_{k'}(x) \exp(ik'x)$$

$$k' = k + \frac{2\pi}{a} n'$$

$$\begin{aligned} \psi_{k'}(x) &= u_{k'}(x) \exp(ikx) \exp\left(i \frac{2\pi}{a} n' x\right) \\ &= \left[u_{k'}(x) \exp\left(i \frac{2\pi}{a} n' x\right) \right] \exp(ikx) \end{aligned}$$

Define:

$$u_k(x) = u_{k'}(x) \exp\left(i \frac{2\pi}{a} n' x\right)$$

Is of Bloch form:

$$\begin{aligned} u_k(x + na) &= u_{k'}(x + na) \exp\left(i \frac{2\pi}{a} n' (x + na)\right) \exp\left(i \frac{2\pi}{a} n' \cdot na\right) \\ &= u_{k'}(x) \exp\left(i \frac{2\pi}{a} n' x\right) = u_k(x) \end{aligned}$$

Therefore can write:

$$\begin{aligned} \psi_{k'}(x) &= u_{k'}(x) \exp(ik'x) \\ &= \left(u_{k'}(x) \exp\left(i \frac{2\pi}{a} n' x\right) \right) \exp(ikx) \\ &= u_k(x) \exp(ikx) \\ &= \psi_k(x) \end{aligned}$$

Bloch electrons have group velocity v_g . If a force F acts on the Bloch electron it accelerates:

$$F = m^* \frac{dv_g}{dt}$$

where m^* is the effective mass. Assume that F , v_g and k are in the x direction.

3) Find an equation relating the group velocity v_g to E and k .

$$v_g = \frac{d\omega}{dk}$$

$$E = \hbar\omega$$

$$\therefore v_g = \frac{1}{\hbar} \frac{dE}{dk}$$

4) By considering the work done δE by a force F in time δt , show that:

$$\frac{dk}{dt} = \frac{F}{\hbar}$$

$$\delta E = F \cdot (v_g \delta t)$$

$$\frac{\delta E}{\delta t} = F v_g$$

$$\frac{\delta E}{\delta t} = \frac{dE}{dt} = \frac{dE}{dk} \frac{dk}{dt} = \frac{dE}{dk} \frac{dk}{dt} = F v_g$$

$$v_g = \frac{1}{\hbar} \frac{dE}{dk}$$

$$\frac{dE}{dk} \frac{dk}{dt} = F \frac{1}{\hbar} \frac{dE}{dk}$$

$$\therefore \frac{dk}{dt} = \frac{F}{\hbar}$$

5) Hence, find an equation relating the effective mass m^* to E and k .

$$v_g = \frac{1}{\hbar} \frac{dE}{dk}$$

$$\frac{dv_g}{dt} = \frac{1}{\hbar} \frac{d^2 E}{dk dt}$$

$$= \frac{1}{\hbar} \left(\frac{d^2 E}{dk^2} \right) \frac{dk}{dt} = \frac{1}{\hbar} \left(\frac{d^2 E}{dk^2} \right) \frac{F}{\hbar}$$

$$F = \hbar^2 \left(\frac{d^2 E}{dk^2} \right) \frac{dv_g}{dt}$$

$$m^* = \hbar^2 \left(\frac{d^2 E}{dk^2} \right)^{-1}$$

In a semiconductor, the conduction band dispersion curve $E_C(k)$ and the valence band dispersion curve $E_V(k)$ close to $k = 0$ in the reduced zone scheme can be described by:

$$E_C(k) = 7.56 \times 10^{-38} k^2 + 4.02 \times 10^{-19}$$

$$E_V(k) = -1.48 \times 10^{-38} k^2$$

where E_V and E_C are in Joules and the magnitude of the electron wavevector k is in m^{-1} .

- 6) What is the energy gap E_G (in eV)?

Calculate in centre of 1st BZ at $k = 0$

$$E_C(0) = 4.02 \times 10^{-19}$$

$$E_V(0) = 0$$

$$E_G = E_C - E_V$$

$$= 4.02 \times 10^{-19} \text{ J}$$

$$= 2.50 \text{ eV}$$

- 7) What is the effective mass of an electron m_n^* in the conduction band (in units of the free electron rest mass m_e)?

$$\frac{\partial^2 E_C(k)}{\partial k^2} = 2 \times 7.56 \times 10^{-38} = 15.1 \times 10^{-38}$$

$$m_n^* = \hbar^2 \left(\frac{d^2 E}{dk^2} \right)^{-1} = \frac{(1.05 \times 10^{-34})^2}{15.1 \times 10^{-38}}$$

$$= 0.73 \times 10^{-31} \text{ kg} = 0.08 m_e$$

- 8) What is the effective mass of an electron m_n^* in the valence band (in units of m_e)?

$$\frac{\partial^2 E_V(k)}{\partial k^2} = 2 \times -1.48 \times 10^{-38} = -2.96 \times 10^{-38}$$

$$m_n^* = \hbar^2 \left(\frac{d^2 E}{dk^2} \right)^{-1} = \frac{(1.05 \times 10^{-34})^2}{-2.96 \times 10^{-38}}$$

$$= -3.72 \times 10^{-31} \text{ kg} = -0.41 m_e$$

- 9) What is the effective mass of a hole m_p^* in the valence band (in units of m_e)?

$$m_p^* = -m_n^* = 0.41 m_e$$